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Name: Chris Vo 9/11/04  
Chris Vo Date  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Ishikawa, Mark M.

**Attorney Docket No.:** BAY-P005 (formerly 60123.803US01)

**Serial No.:** 09/821,565

**Examiner:** Morse, Gregory Allan

**Filed:** 03/29/2001

**Art Unit:** 2134

**For:** SYSTEM, METHOD AND APPARATUS FOR PREVENTING TRANSMISSION OF DATA ON A NETWORK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT TRANSMITTAL LETTER**

Dear Sir:

**1. TRANSMITTED DOCUMENTS:** the following documents relating to the above-identified patent application are being transmitted herewith.

- X a. A **Preliminary Amendment** for this application: 9 pages.  
\_\_\_ b. Substituted informal Drawings:        sheets.  
\_\_\_ c. A Petition For Extension of Time For Response under 37 CFR 1.136(a) incorporated herein.  
\_\_\_ d. An Information Disclosure Statement under 37 CFR     1.97(b) X 1.97(c)  
X e. A stamped, self-addressed, return postcard.  
X f. A Check (# 1119 ) for \$ 472.00 to cover required fees of this correspondence.

**2. APPLICANT FILING STATUS:**

- \_\_\_ a. Applicant is a Large Entity.  
X b. Applicant is a Small Entity.

**3. FEE CALCULATION:**

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total
a. Total Claims	39	- 20 =	19	x \$ 18.00 Large Entity x \$ 9.00 Small Entity	\$ 171.00
b. Independent Claims	11	- 4 =	7	x \$ 86.00 Large Entity x \$ 43.00 Small Entity	\$ 301.00
c. Multiple Dependent Claims Added By This Amendment				x 280.00 Large Entity x 140.00 Small Entity	
d. Additional Fees Required With This Correspondence					
i) 1.17 (p) Fee for Information Disclosure under 1.97(c)					\$ .00
e. Total Fees					\$ 472.00

**5. PAYMENT OF FEES**


The full fee due in connection with this communication is provided as follows:

- ☐ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.
- ☒ A Check # 1119 for \$ 472.00 for the above specified Total Fee is enclosed. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.
- ☐ Applicant does not believe that any payment of fee is needed in association with this communication. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

Please direct all correspondence concerning the above-identified application to the following address:

**FERNANDEZ AND ASSOCIATES, LLP**  
**Patent Attorneys**  
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**Menlo Park, CA 94025-6204**  
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Respectfully submitted,

  
\_\_\_\_\_  
DENNIS S. FERNANDEZ  
Registration No. 34,160

9/11/04  
Date



2134 \$  
JFW

Appl. No. : 09/821,565 Confirmation No. 5876  
Applicant : Mark M. Ishikawa  
Filed: : March 29, 2001  
TC/A.U. : 2134  
Examiner : Gregory Allan Morse  
Docket No. : BAY-P005 (formerly 60123.803US01)  
Customer No. : 22877

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### PRELIMINARY AMENDMENT

Sir:

Prior to examining the subject patent application on the merits, please amend the application as follows:

**Amendments to the claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

09/10/2004 DENMANU1 00000023 09821565

01 FC:2201 301.00 OP  
02 FC:2202 171.00 OP